

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

022701-942

**09/913837**

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/913,837

INTERNATIONAL APPLICATION NO.  
PCT/FR00/00360INTERNATIONAL FILING DATE  
14 February, 2000PRIORITY DATE CLAIMED  
18 February 1999

## TITLE OF INVENTION

METHOD FOR PREPARING LATEX BY EMULSION (CO)POLYMERISATION OF ETHYLENICALLY  
UNSATURATED MONOMERS, WITH DIRECT INLINE MONITORING BY RAMAN SPECTROSCOPY

## APPLICANT(S) FOR DO/EO/US

Mathias AGNELY; Bruno AMRAM; Phil D. ARMITAGE; Dominique CHARMOT; Bruno DROCHON; Eve PERE

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Copy of Notification of Missing Requirements, dated October 9, 2001; Petition for Extension of Time; Copy of Postcard for filing application showing the stamped Application No. as 09/913837, the Notice of Missing Requirements list the Application No. as 09/913,838.

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) <b>09/913,837</b>		INTERNATIONAL APPLICATION NO. <b>PCT/FR00/00360</b>		ATTORNEY'S DOCKET NUMBER <b>022701-942</b>	
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17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	PTO USE ONLY
<b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b>  Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1,000.00 (960)  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00 (970)  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00 (958)  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00 (956)  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00 (962)					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>					
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than 20 <input type="checkbox"/> 30 <input type="checkbox"/> months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	17 -20 =	0	X\$18.00 (966)	\$ --	
Independent Claims	1 -3 =	0	X\$80.00 (964)	\$ --	
Multiple dependent claim(s) (if applicable)			+ \$270.00 (968)	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 130.00	
Reduction for 1/2 for filing by small entity, if applicable (see below).				\$	-
<b>SUBTOTAL =</b>				\$ 130.00	
Processing fee of \$130.00 (156) for furnishing the English translation later than 20 <input type="checkbox"/> 30 <input type="checkbox"/> months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 130.00	
<b>01/09/2002 HNGUYEN 00000184 09913837</b>  <b>01 FC:154 130.00 OP</b>				<b>Amount to be:</b> <b>refunded</b> \$	
				<b>charged</b> \$	

a. ☐ Small entity status is hereby claimed.

b. ☒ A check in the amount of \$ 130.00 to cover the above fees is enclosed.

c. ☐ Please charge my Deposit Account No. 02-4800 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

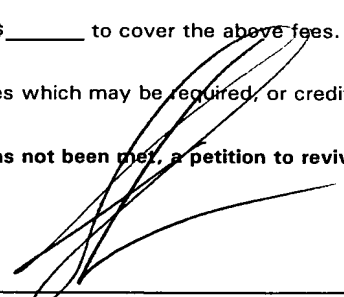
d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Norman H. Stepno  
 BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
 P.O. Box 1404  
 Alexandria, Virginia 22313-1404  
 (703) 836-6620

Date: January 7, 2002

  
 \_\_\_\_\_  
 SIGNATURE  
  
 Teresa Stanek Rea  
 \_\_\_\_\_  
 NAME  
  
 30,427  
 \_\_\_\_\_  
 REGISTRATION NUMBER

09/913837

Applicant/Inventor: Anely et al Appl. No. NEW APPLN 371 of PCT/FR00/00360  
 Doc# at No.: 022701-942 Work Atty: TSR Date: August 20, 2001  
 Title: METHOD FOR PREPARING LATEX BY EMULSION (COPOLYMERISATION OF ETHYLENICALLY UNSATURATED MONOMERS, WITH DIRECT INLINE MONITORING BY RAMAN SPECTROSCOPY

The following was/were received in the U.S. Patent and Trademark Office on the date stamped hereon:

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Transmittal Letter to the U.S. Receiving Office/Response to Invitation (PTO-1382)  | <input type="checkbox"/> PCT Fee Calculation Sheet Annex to Demand for Int'l. Prel. Examination (PCT/IPEA/401 (Annex)) | <input checked="" type="checkbox"/> Check for \$ <u>860.00</u> is enclosed |
| <input checked="" type="checkbox"/> Transmittal Letter to U.S. Designated/Elected Office (OO/EO/US) Concerning Filing Under 35 USC 371 (PTO-1390) | <input type="checkbox"/> Executed Declaration/Power of Attorney  | <input type="checkbox"/> Check for \$ _____ is enclosed                    |
| <input type="checkbox"/> PCT Request (PCT/RO/101) (____) pgs. Including   | <input type="checkbox"/> Unexecuted Declaration/Power of Attorney  | <input type="checkbox"/> Charge \$ _____ to Deposit Account                |
| <input checked="" type="checkbox"/> specification (pages 1 - <u>45</u> ) claims (claim(s) 1 - <u>17</u> , <u>10</u> pgs.)                         | <input type="checkbox"/> PCT Notice of Confirmation of Preliminary Designations (PCT/RO/144)                           | <input type="checkbox"/>   |
| <input checked="" type="checkbox"/> drawings (Fig(s). 1 - <u>9</u> , <u>9</u> pgs.)   | <input type="checkbox"/> Appointment of Agent  | <input type="checkbox"/>   |
| <input type="checkbox"/> Abstract of the Disclosure   | <input checked="" type="checkbox"/> Preliminary Amendment  | <input type="checkbox"/>   |
| <input type="checkbox"/> PCT Fee Calculation Sheet Annex to the Request (PCT/RO/101 (Annex))  | <input type="checkbox"/> Assignment/Assignment Recordation Form Cover Sheet (PTO-1595)                                 | <input type="checkbox"/>   |
| <input type="checkbox"/> PCT Demand (PCT/IPEA/401) International Preliminary Exam. Report   | <input type="checkbox"/> Information Discl. Statement Transmittal Letter   | <input type="checkbox"/>   |
|   | <input checked="" type="checkbox"/> Information Disclosure Citation (PTO-1449)   |  |
|   | <input checked="" type="checkbox"/> Information Disclosure Statement w/0 document(s)                                   |  |

09/913837

JC20 Rec'd PCT/PTO 2 0 AUG 2001 (7/01)

#4

☒ Commissioner For Patents, Box PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov

U.S. APPLICATION NUMBER NO.

09/913,838 7

FIRST NAMED APPLICANT

Mathias Agnely

ATTY. DOCKET NO.

022701-942

INTERNATIONAL APPLICATION NO.

PCT/FR00/00360

I.A. FILING DATE

02/14/2000

PRIORITY DATE

02/18/1999

Norman H. Stepno  
Burns, Doane, Swecker & Mathis  
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Alexandria, VA 22313-1404

CONFIRMATION NO. 5506

371 FORMALITIES LETTER



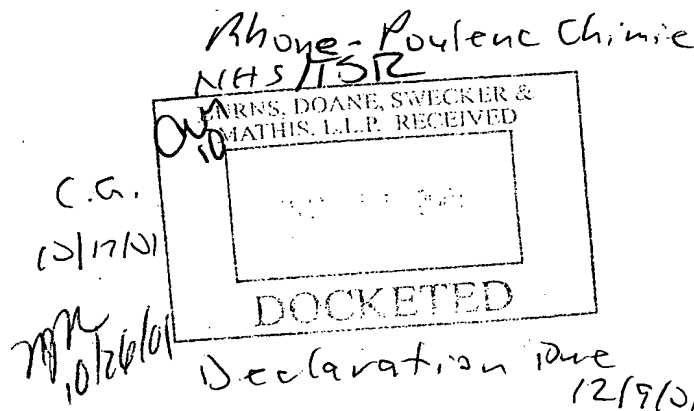
\*OC000000006846579\*

Date Mailed: 10/09/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

WINSTON M ALVARADO

Telephone: (703) 305-6421

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/913,838	PCT/FR00/00360	022701-942